



STOCK DONATION FORM

*Board of Regents' Handbook, Title 4, Chapter 10. A.2.h. The Foundation will not invest in land, engage in joint ventures or invest in equity positions in privately held stocks that are not listed on the **New York Stock Exchange (NYSE)**, **National Association of Securities Dealers Automated Quotation (NASDAQ)** or other nationally recognized stock market without full disclosure to the Chief Financial Officer and the approval of the institution President. If such assets outside these categories are received as gifts, they may be retained if the President agrees to their appropriateness as investments. Such transactions must be disclosed to the Board of Regents Audit Committee at its next regularly scheduled meeting. If the President, on advice of the Chief Financial Officer, does not agree with the appropriateness of an investment or activity of the Foundation, the President must report that activity to the Board of Regents at the next regularly scheduled meeting.*

In order to initiate your stock transfer, please complete this form, send it to your broker and return a copy to one of the DRI Research Foundation Contacts below.

DRI Research Foundation Contact persons:

1. Kenneth J. Adams, Development Administrator (kenneth.adams@dri.edu) (702) 862-5497
2. Karen Stewart-Carrico, Interim AVP Controller (karen.stewart-carrico@dri.edu) (775) 673-7482

DRI Broker Information: SK Directed Trades, Bank of America, N.A. Safekeeping

DRI Account Name: DRI Research Foundation

Account #: 425890

DTC#: 901

Broker Account Contact:

1. Judy Ann C. Manalo **Phone:** 415-627-2618 **Email:** sk_directed_trades@bankofamerica.com

DonorName(s): _____

Donor Contact Information: _____

List the broker and brokerage company of the donor: _____

_____ Broker # _____

Broker Contact Information: _____

Stock Name: _____ Symbol: _____

CUSIP # _____ Number shares of stock to be donated: _____

Approximate value (indicate if per share or total value?) \$ _____

Gift expectance date: _____

Gift purpose: Top Priorities of the DRI Research Foundation, or

Specific Fund _____

The DRI Foundation is a 501(c)(3) Nevada non-profit corporation; federal tax ID # 94-2879252.

DRI Foundation
755 E. Flamingo Road
Las Vegas, NV 89119-7363